Research Activities

- Synchrotron Radiation Experiments -

Interplay of Exchange and Spin-Orbit Interaction for Interface States in Ni/W(110)

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Due to the high atomic number of tungsten and the ferromagnetic behavior of Nickel, Ni/W(110) is a wellsuited system for studying the interplay of spin-orbit coupling and exchange interaction. Furthermore, ultrathin Ni films on W(110) in the few-monolayer regime reveal a complex (7x1) superstructure and thus provide the possibility to study the influence of this superstructure on electronic bands.

Figure 1 (a)-(d) display constant energy cuts for 1ML Ni/W(110)-(7x1) for $E - E_F = 0$ meV to -400meV. Various additional bands are visible compared with the clean W(110) surface. The observed band structure deviates significantly from the one of pristine W(110), and also, no congruence to a Ni(111) constant energy cut is apparent which suggests that many of the observed bands are induced by the interface. To investigate the influence of the superstructure along the "7 direction", a measurement along the k_y -direction for $k_x=1.25$ Å⁻¹ (purple line in Fig. 1 (e), parallel to Γ H) is displayed in Fig. 1 (h). Two oval-shaped features are visible in Fig. 1 (e), marked by the black arrows. The $E(\mathbf{k}_{\parallel})$ cut along the purple line reveals that the two ovals stem from states with linear dispersion. Besides the replication of these states, the linear dispersion over a large range of about 500meV is remarkable and strongly reminiscent of the Dirac-type surface state DSS described, e.g., in [1].

To evaluate the correlation of the (7×1) superstructure and the three pairs of bands $\epsilon_{1,2}, \epsilon_{3,4}$, and $\epsilon_{5,6}$, a comparison of the measurements for 0.5ML and 1ML Ni/W(110) along Γ H in the same $E(\mathbf{k}_{\parallel})$ region is presented in Fig. 2. For a coverage of 0.5 ML, the Ni adlayer is pseudomorphic to the W(110) structure, i.e., no superstructure occurs. In 2 (a), only the $\epsilon_{1,2}$ bands are visible. This leads to the assumption that the occurrence of the three pairs of ϵ bands for the (7×1) structure is related to the additional periodic potential created by the superstructure. So far, only the occurrence of replica bands shifted by $G_{(7\times1)}$ has been considered as a consequence of the superstructure. But, additionally, these bands should appear as mirrored bands starting at each $\Gamma_{(7\times1)}$ point. These mirrored bands are often low in intensity and not visible in ARPES experiments. These bands are therefore called ghost bands [2]. Nonetheless, these bands can be observed indirectly. If these ghost bands cross visible bands, hybridization gaps may be observed. At first glance, no gaps are visible in the ϵ bands, neither for the (1×1) nor the (7×1) structure in Fig. 2 (a) and (b), respectively. By analyzing the EDCs for various k_y in Fig. 2 (c) and (d), however, gaps within the ϵ_2 band for the (7×1) structure become apparent. In Fig. 2 (c), the EDCs show the two peaks of the ϵ_1 and ϵ_2 bands. In all of the EDCs, each band shows only one peak; thus, no gaps are observed for the (1×1) structure. For the (7×1) structure, the situation is different. The EDCs for $k_{\nu}=0.54$ Å⁻¹ to $k_{\nu}=0.60$ Å⁻¹ show again the two peaks for the ϵ_1 and the ϵ_2 band. The EDC for $k_v=0.63$ Å⁻¹, however, reveals a distinctly different behavior of the ϵ_2 band. Two peaks (indicated by black arrows) are present, separated by ≈ 200 meV, instead of one ϵ_2 peak. For $k_y = 0.66$ Å⁻¹, the ϵ_2 band is again apparent by a prominent peak close to E_F . The splitting of the peak into two separate peaks in the EDC for $k_v = 0.63$ Å⁻¹ strongly indicates the formation of a gap.

Additional constant-energy-cut measurements for 3ML and 13ML of Ni on W(110) were conducted. The data reveal bands very similar to the results for a pristine Ni(111) surface. Visible are the exchange-split sp-bands as well as the majority-spin d bands of Ni. Further spin-resolved measurements are necessary to reveal the influence of SOI on the exchange-split Ni bands.



1ML Ni/W(110) (7x1)

FIGURE 1. ARPES measurements of the occupied band structure of 1ML Ni/W(110)-(7×1). (a)-(d) show constant energy cuts at different binding energies, (e) shows an extract of the constant energy cuts displayed in (d). The purple line indicates the k_v -line for the $E(k_v)$ plot displayed in (h). (f) displays the $E(k_x)$ plot along the high-symmetry direction Γ N. (g) displays the $E(k_y)$ plot along the high symmetry direction FH. (h) displays the $E(k_y)$ plot for $k_x=1.25$ Å⁻¹.

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-1 5

-1.5

The white-dotted lines are guides to the eye for the two linearly dispersing states. For (h), a logarithmic scale was chosen to enhance the visibility of the linearly dispersing states. All plots are presented on a grayscale, where white represents low intensity and black high intensity.



FIGURE 2. Displayed are $E(k_y)$ plots for (a) 0.5 ML and (b) 1ML Ni/W(110) measured along the high-symmetry line Γ H. The black-dotted lines indicate the high-symmetry points of the pristine W(110)-(1×1) surface. The black solid lines represent the Γ points of the (7×1) superstructure. The green arrows indicate $k_y=0.63$ Å⁻¹, the position of the green EDCs shown in (c) and (d). EDCs for various k_y values for 0.5 ML and 1ML Ni/W(110) are shown in (c) and (d), respectively. Black tick marks indicate the peak positions of ϵ_1 and ϵ_2 . The black arrows in (d) indicate two peak positions.

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Study of Fermi Surface Topology on CoP-Based ThCr₂Si₂ Structural Compounds *A*Co₂P₂ (*A* = Ca, Sr, La, Ce, Pr, Nd, Eu)

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Keywords: CoP based ThCr2Si2 Structure, Fermi Surface Topology, Angle Resolved Photoemission Spectroscopy

The ThCr₂Si₂ structure is a classic quasi-2D tetragonal system with the crystal group of I4/mmm. Early research work mainly focused on exploitation of new compounds and their magnetic properties [1-7]. So far, more than a thousand materials with the ThCr₂Si₂ structure have been discovered. The richness of materials has provided a fertile platform for the research of novel physics. Prominent examples include CeCu₂Si₂, the first unconventional superconductor, URu₂Si₂, the famous hidden-order superconductor, and YbRh₂Si₂, a prototypical material to explore quantum criticality [7]. And of course, the most famous ones are AFe_2As_2 (A = Ca, Sr or Ba), parent compounds of the "122"-family of iron-based high- T_C superconductors [8-10]. The ground states of the AFe₂As₂ compounds exhibit antiferromagnetic (AFM) spin density wave (SDW), which has attracted enormous attention for its intimate connection with superconductivity (SC). Therefore, the investigation of how the band structure and Fermi surface topology are related to the magnetic ordering and even SC has aroused great interest [8-14]. In this report, we focused on the analogical CoP-based Th Cr_2Si_2 structural compounds, ACo_2P_2 (A = Ca, Sr, La, Ce, Pr, Nd, Eu). Different from the iron pnictides that host similar AFM ground state magnetic order, ACo_2P_2 exhibit rich magnetic structures at low temperatures, which are highly dependent on the A-site cation. As shown in Fig. 1(a), SrCo₂P₂ does not have long range magnetic order, for EuCo₂P₂, only Eu atoms carry magnetic moment in a complicated incommensurate SDW form, whereas magnetic orders due to cobalt moments was observed in CaCo₂P₂, CeCo₂P₂, LaCo₂P₂, PrCo₂P₂ and NdCo₂P₂ [2-6]. The diversity of the magnetic structure implies that the CoP based ACo₂P₂ compounds are a good platform for studying the intimate connection between the magnetic structure and the Fermi surface topology. Therefore, we plan to carry out systematic Fermi surface topology studies on the ACo₂P₂ systems.



FIGURE 1. Magnetic structures of ACo_2P_2 . The cobalt atoms (red circles) with their ordered magnetic moments are shown together with the *A* atoms (grey circles), which are in the positions (0 0 0) and (1/2 1/2 1/2) of the unit cell. [6]

We employed the high resolution angle resolved photoemission spectroscopy (ARPES) setup at BL-01 of HiSOR to investigate the electronic band structure of CaCo₂P₂. Like most compounds with ThCr₂Si₂ structure, CaCo₂P₂ single crystals can be easily cleaved along the [00/] direction. All the samples are cleaved and measured *in-suit* at 20 K, which is below the Neel temperature of 100 K. Through a k_z map with photon energies from 100 to 200 eV, we were able to determine the inner potential to be 13 eV. After that, we set the photon energy to 128 eV ($k_z \approx 18 \pi/c$) to conduct the high-quality Fermi surface maps and band structure measurements along high symmetry directions. As shown in Fig. 2(a)-(d), the bands show rectangle symmetry, consistent with the symmetry of the (00*l*) surface of the CaCo₂P₂. As shown in the four *k-E* cuts provided in Fig. 2(e)-(h), a Dirac-like band is observed at the *Z* point. Further analysis requires support from first principles calculations.

In summary, we have systematically measured the electronic band structure of $CaCo_2P_2$ in the AFM state. In the future, we plan to conduct detailed, temperature-dependent measurements of ACo_2P_2 systems with other A site cations.



FIGURE 2. ARPES results of CaCo₂P₂. (a)–(d) the ARPES constant-energy contour at $E_B = 0, 0.55, 0.9$ and 1.3 eV with hv = 128 eV. (e)-(h) *E*- *k* cuts at positions marked by dash lines on (b).

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Research of Charge Fluctuations in the Electron-doped High-*T***_c Cuprate NCCO Revealed by ARPES and IPES**

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Keywords: cuprate superconductors, charge fluctuation, electronic structure, ARPES, IPES

The origin of the high-Tc superconductivity has been extensively studied so far, but the bosonic interaction to form electron pairs is still elusive. There are three degrees of freedom in the solid; orbital, charge, and spin [1]. According to the previous experimental reports [2-8], the promising candidates of the bosonic interactions are phonons and magnons. On the other hand, the role of the charge fluctuation in the high-Tc superconductivity is not well understood.

Recently, inelastic X-ray scattering experiments reported that charge excitations for both hole- and electrondoped cuprate high- T_c superconductors [9-11], and therefore, the effect of the charge fluctuation to the high- T_c superconductivity has attracted interest. According to the theoretical study [12], using the layered *t-J* model, the electron self-energy is calculated and discussed about the effect of charge fluctuation in the spectral function.

We have performed an ARPES and inverse photoemission spectroscopy (IPES) to observe the electronic structure in the occupied and unoccupied states, respectively, on Nd_{1-x}Ca_xCuO₄ (x = 0.15) as shown in Fig. 1. We find that a strong peak structure exists in the energy region between 5 and 9 eV above the Fermi level (E_F). In the poster presentation, we will show the details about the experimental data and discuss the effect from the charge fluctuation.



FIGURE 1. Experimental data observed by ARPES and IPES. Energy-distribution curves observed by IPES in the direction of (0, 0)- (π, π) is shown on the left side. On the right side, IPES spectra without the second derivative (above E_F) and the second-derivative intensity plot observed by ARPES (below E_F) is shown.

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Uncovering Nonsymmorphic Symmetry Protected Hidden Spin Polarization in Inversion-symmetric Multiphase Superconductor Ce(RhAs)₂

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Keywords: Nonsymmorphic Symmetry, Hidden Spin Polarization, Multiphase Superconductor, Inversion-symmetric.

A combination of both time-reversal and space inversion symmetries yields spin-degenerated energy levels in solids. A relativistic electron moving in an electric field experiences an effective magnetic field that interact on an electron spin, giving rise to the spin-orbit coupling (SOC). The spin splitting induced by the SOC can be classified into the Dresselhaus type and Rashba type [1-3]. However, giant Rashba-type spin splitting has been found experimentally in centrosymmetric crystals such as BiOI [3] and GeTe [4]. These spin-polarization effects originate from specific atomic sites with point group asymmetries, rather than, as generally accepted, from the asymmetry of the global crystal space group. The local gradient of the electric potential, protected by the local crystal asymmetries, appears in the spin-orbit Hamiltonian, such that even in centrosymmetric systems this electric field does not have to vanish at all atomic sites. The spin textures are located at different sectors, which is called hidden spin polarization (HSP).

Recently, there has been an upsurge of interest in materials in which both spin-orbit coupling and strong correlations are important. The heavy fermion material CeRh₂As₂ is a variant of non-centrosymmetric heavy fermion superconductor [5]. The crystal structure is globally centrosymmetric (with well-defined inversion centers) but is locally non-centrosymmetric, with an inversion symmetry linking two non-centrosymmetric Ce-square lattices. This configuration can be compared with the relation between ferromagnetic (FM) and antiferromagnetic (AF) structures. The FM state globally breaks time-reversal symmetry; the AF state does not but has two sublattices of subunits violating time-reversal symmetry. The consequence of such a structure in CeRh₂As₂ is that even-parity (spin-singlet) and odd-parity (spin-triplet) Cooper pairs are not mixed, so that a phase transition between even- and odd-parity condensates should occur inside the superconducting condensate [6].

Spin- and angle-resolved photoemission spectroscopy (SARPES) has been instrumental in studying the consequences of such interplay for the electronic structure of a variety of materials. However, because of earlier predictions of negligible spin-orbit interaction in cuprates, the full spin character of quasiparticles has not been probed experimentally. Here, we report such a study, revealing unexpected HSP effect of the spin-orbit interaction for the multiphase heavy fermion superconductor CeRh₂As₂.

The CeRh₂As₂ is quasi 2D materials which contains two opposites sectors for per unit cell, while the global crystal symmetry is centrosymmetric, each sector contacts by nonsymmorphic symmetry with glide mirror reflection and screw axis operation forming a buckling structure. The spin polarization is thus well protected by nonsymmorphic symmetry contact of each sector: the spin-up and spin-down electrons are ideally separated without compensation in each sector even the opposite sectors are close to each other. As a result, the crystal potentials or dipole fields superpose together, leading to effective magnetic fields to create peculiar spin textures projected to each sector: Rashba spin texture with helical structure around X point and Dresselhaus spin texture without helical structure around M point.

By checking the Fermi surface, we first located the high symmetric directions in the BZ. Figure 1 shows the Fermi surface of the CeRh₂As₂ sample. Figure 2 shows the high symmetric paths of X-G-X and M-X-M directions. Figure 1 displays the measured constant-energy plots within the kz = 0 plane at binding energy of $E_B = 0$ eV. One can directly identify one square like hole pocket centered at the Γ point and one nearly

diamondlike electron pocket centered at the M point. These two pockets are further evidenced by the subsequent high-precision photoemission intensity [Fig. 2].



FIGURE 1. Fermi surface of CeRh₂As₂.



FIGURE 2. high symmetric paths of X-G-X and M-X-M directions.

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Observation of fully spin-polarized surface states in Bi2O2Se

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Keywords: Bismuth oxyselenide, Hidden Spin Polarization, Semiconductor, Inversion-symmetric, surface state.

Owing to ultrahigh mobility (@1.9K, ~29000cm²V⁻¹·s⁻¹; @room temperature, $450cm^2V^{-1}\cdots^{-1}$) [1,2], outstanding air stability [3], moderate and tunable bandgap (~0.8eV and thickness dependent) [2,4], as well as the virtue of quick response to various input signals [5,6], Bi₂O₂Se is expected as an emerging material platform for the next-generation electronic industry [7–11]. Recently, diverse applications of Bi₂O₂Se are being extensively studied, including optoelectronic devices [12], field-effect transistors [2], memristors [6], photodetector [13,14], true random number generator and sensors [15–17]. Among them, massive efforts on combining 2D Bi₂O₂Se with other materials, such as graphene [18,19], transition metal dichalcogenides (TMDs) [20–23], topological materials [24] etc., have produced many sophisticated artificial heterojunctions with novel properties.

To realize the full potential of Bi₂O₂Se and explore its applications in electronic, thermoelectric, and optoelectronic devices, understanding its detailed electronic structures is essential. For this purpose, we performed angle-resolved photoemission spectroscopy (ARPES) measurement.

As shown in Figure 1, ARPES measurements were carried out on PLD-grown 1-UC (bilayer) Bi₂O₂Se films with photons of 98 eV. Figure 2 presents the general high-symmetry band dispersions along Γ -X and X–M, which is dominated by two hole-like bands α and β near VBM around X point. In the constant energy contours, the evolution of this two hole-like bands at higher binding energy is clearly observed. By magnifying the spectra around Γ near the Fermi level, we observe weak intensity from the bottom of the conduction band, indicating the existence of an indirect bandgap in Bi₂O₂Se. We do not observe any in-gap states such as the pinned impurity state throughout the whole 2D Brillouin zone, indicating high quality of MBE-grown 1-UC Bi₂O₂Se film.



FIGURE 1. Fermi surface of the Bi_2O_2Se



FIGURE 2. high symmetric paths of X-G-X and M-X-M directions.

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The Electronic Structure of Pd Overlayers on Single Crystal MoS₂(0001)

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Among the various metal contacts, to the metal dichalcogenides, palladium (Pd) has been seen to be a more efficient contact for hole injection into WSe_2 [1,2]. As MoS_2 is generally an n-type semiconductor (unlike WSe₂), we would expect that Pd would be a blocking contact. Previously we have been reported on $\overline{\Gamma} - \overline{K}$ band structure of Pd overlayer doping of the $MoS_2(0001)$ single crystal surface [3]. We saw a band shift of the $MoS_2(0001)$, with the deposition of low coverages of Pd, that tend to show more even n-type character of electronic structure for the valence band but also a general opening of the band gap of MoS₂(0001) at the interface with Pd [3].

After preforming in situ cleaving of MoS₂ bulk crystals, the occupied valence electronic states of TMDs systems before and after Pd deposition were measured by high-resolution anglephotoemission spectroscopy resolved (HR-ARPES) at the linear undulator beamline (BL-1) at HiSOR, Hiroshima University in Japan, as detailed elsewhere [4]. All measurements were taken at a photon energy of hv = 150 eV, with ppolarized light along the 2-dimensional (2D) direction (k_x and k_y in k-space) of the surface Brillouin zone (SBZ), at room temperature. Over-layers of Pd deposition were accomplished through use of an e-



Figure 1. At the top, panels, A), B) and C), show the 3 pictures of the low energy electron diffraction (LEED) for clean MoS₂, 10 Å and 20 Å Pd deposited on on a MoS₂(0001) respectively. This hexagonal diffraction pattern is characteristic of $MoS_2(0001)$. The figures, in center and bottom, are the result from the angle-resolve photoemission spectroscopy (taken at HiSOR) on clean $MoS_2(0001)$ single crystal, in a) and a'), and thin film overlayers of Pd on a MoS₂(0001) single crystal, b) and b') for 10 Å, and c) and c') for 20 Å. The center panels, a), b), and c), are the slice of 2D mapping at the E = -1.25 eV below Fermi level (E_F) , indicated in dashed line in a'), b') and c'), constructed from the band dispersion in k_v (top left) and k_x (bottom right), in k-space band structure mappings. The measurements were performed at room temperature. Those a'), b') and c') panels are the k_x direction of band dispersion at $k_y = 0$ line.

beam evaporator.

The low energy electron diffraction (LEED) of the Pd adlayer on the MoS₂(0001) surface, as shown in Figure 1, A), B) and C), shows clear evidence of a well ordered MoS₂(0001) surface, with the Pd(111) adlayer relative lattice matched with little disorder. The angle-resolve photoemission spectroscopy (ARPES) tells a different story. In Figure 1, a), b) and c), center panels, results of experimental electronic 2D band mapping are shown, for clean MoS₂(0001) in a) and 10 Å in b) and 20 Å in c), Pd depositing on top of MoS₂(0001) single crystal. The results also show an ordered Pd(111) adlayer on the MoS₂(0001) surface, with apparent hexagonal patterns at SBZ edge, of the C_{3v} surface termination. This is superficially consistent with the LEED result, as seen on Figure 1, A), B) and C). In Figure 1, a') and b') and c'), the Pd shows that there is a shift of the entire valence band toward higher binding energy side, away from Fermi level (E_F), as reported previously [3] The increase in the background and changing intensity ratios of the band at $\overline{\Gamma}$ (center of SBZ) and \overline{K} (edge of SBZ) do indicate that the MoS₂(0001) band structure not only exhibits a rigid band shift but is increasing perturbed by the Pd adlayer. What is novel is that, in spite of the well-defined order, seen in LEED, the band structure acquires a background. These results point to strong hybridization at the Pd to MoS₂ (000) interface.

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Modification Of The Electronic Structure Of Intrinsic Magnetic Topological Insulator (Mn_{1-x}A_x)Bi₂Te₄ By Substituting Mn With Non-Magnetic Elements Ge, Pb, Sn

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The introduction of a magnetic interaction into a topological insulator (TI) can lead to a number of quantum effects, such as the quantum anomalous Hall effect (QAHE), the topological magnetoelectric effect and, in the case of contact with a superconductor, the realization of Majorana fermions [1,2]. Currently, the most promising platform for studying the interaction between magnetism and topology is the intrinsic magnetic TI MnBi₂Te₄ [1-7]. However, for the efficient application of magnetic TIs in devices, it is necessary to be able to manipulate their electronic and magnetic properties in a controlled manner. For example, MnBi₂Te₄ is strongly n-doped and for the realization of QAHE it is necessary to shift the Dirac point to the Fermi level. This can be achieved by partial substitution of Bi atoms with Sb atoms. The electronic structure of the topological surface state (TSS) and the magnetic properties of the TI are preserved during such substitution.

Control of the magnetic properties is also desirable. In the ground state, MnBi₂Te₄ is in the antiferromagnetic state and the remagnetisation field is very high: about 7.5 T [3]. From a practical point of view, reducing this remagnetisation field will make it possible to create systems in which the transition from the axion insulator state to the Chern insulator state, as well as the change in the direction of the edge current in the QAHE state, can be achieved by applying much lower external magnetic fields. This field can be reduced by decreasing the magnetic interaction in the TI. This can be achieved by partial substitution of Mn atoms by non-magnetic elements (Sn, Pb, Ge) which are components of ternary TIs SnBi₂Te₄, PbBi₂Te₄, GeBi₂Te₄ with crystal structures similar to MnBi₂Te₄ [8-11].



FIGURE 1. Dispersion relations for $Ge_xMn_{1-x}Bi_2Te_4$ (a-d), $Sn_xMn_{1-x}Bi_2Te_4$ (e-h), $Pb_xMn_{1-x}Bi_2Te_4$ (i-l) with different level of substitution (x) of Mn for the corresponding non-magnetic element. ARPES spectra were obtained using laser radiation with hv = 6.3 eV at temperature T = 15 - 30 K. Concentrations for these samples evaluated by EDX/XPS methods are shown on top of the panels. Profiles cut at the Γ -point are shown on the left side of the panels. Where possible, black rectangles mark the edges of the valence and conduction bands, blue line marks the position of the Dirac point of the TSS.

Moreover, the TIs (Ge,Sn,Pb)Bi₂Te₄ have a different full topological Z₂ invariant compared to MnBi₂Te₄, i.e. (1;111) and (1;000), respectively [9,11]. Therefore, at a certain substitution ratio of Mn for Ge, Sn, Pb in mixed crystals, a topological phase transition can be expected. In such crystals 3D Dirac/Weyl states can be realize.

Three sets of $(Mn_{1-x}A_x)Bi_2Te_4$ samples, with Mn substituted by A = (Ge, Sn, Pb), were investigated using angle-resolved photoelectron spectroscopy (ARPES) with laser photoexcitation (hv = 6.3 eV). The resulting dispersion relations are shown in Fig. 1. It is evident that for low levels of Mn substitution (x < 20%), the electronic structure of the mixed crystals remains similar to that of MnBi₂Te₄. The TSS is clearly visible, and its Dirac point can be clearly identified (marked by the blue horizontal line). The lower edge of the conduction band (CB) is sharp and well-defined. In panels (a) and (i), a splitting of the edge state into two sub-states is observed (see [4]), as the measurements were conducted at temperatures below the Néel temperature for these samples. The upper edge of the valence band (VB) shows weak intensity and is ambiguously defined, schematically indicated by a wide rectangle.

As the concentration of the substituent increases, the edges of the VB and CB converge, indicating a decrease in the bulk band gap (panels b and f). Additionally, the width of the states increases, appearing more blurred, likely due to the introduction of additional electron scattering centers. Furthermore, parabolic states, which split along the wave vector relative to the Γ -point (Rashba-like states), appear in the spectra. These states are present in MnBi₂Te₄ but are strongly hybridized with the CB states and located near the Fermi level, making them poorly distinguishable in the spectra. With increasing Mn substitution, these states shift towards higher binding energies and become more distinct.

The topological phase transition for the mixed crystals with all three elements is expected within the substitution range of approximately 40-60% [9,11]. In the case of Mn substitution by Sn (panel g, 52 %), there is a notable weakening of the VB states' intensity. The Rashba-like states become the most intense in the CB. For Mn substitution by Pb (panel k, 49 %), the edge of the CB (a parabolic state with a binding energy around 0.27 eV) can be identified in the spectrum. The VB states also exhibit weak intensity, making it difficult to distinguish their edge. Generally, it can be observed that the spectra in panels (g) and (k) do not show states corresponding to TSS, nor do they display a cone of bulk states. In the case of Mn substitution by Ge (panel c, 45 %), at studied concentration conical states can still be identified and attributed to TSS.

At substitution concentrations of approximately 80% or higher (panels d, h, l), the electronic structure of the mixed crystals becomes similar to that of GeBi₂Te₄, SnBi₂Te₄, or PbBi₂Te₄, respectively. The transition from the MnBi₂Te₄-like electronic structure to these electronic structures is abrupt, with no smooth modification of states. In the spectra, when compared with literature data, one can identify the TSS as narrow, intense states. These TSS are significantly distorted near the upper edge of the VB, with the Dirac point located within the VB region.

Thus, with the substitution of Mn by nonmagnetic elements Ge, Sn, and Pb, a distinct change in the electronic structure is observed. This change likely involves a transition from the TI phase to a trivial insulator phase, and then back to a TI phase with a different topological Z_2 invariant. The transition between the TI and trivial insulator phases may occur through a Dirac semi-metal phase, which is likely to be observed only within a very narrow range of substitution concentrations. Overall, the trend in the electronic structure modification is almost identical for all three non-magnetic elements. Minor differences, such as the positions of the Dirac point or the width of the TSS, are likely attributed to the specific synthesis conditions of each crystal.

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Observation of electron structure of chiral magnet YbNi₃Al₉ by ARPES

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Keywords: chiral magnetic crystal, helical magnetism, angle resolved photoemission spectroscopy

Trigonal YbNi₃Al₉ has attracted interest as the first chiral magnetic alloy discovered among 4*f* electron systems [1]. The Yb 4*f* spins become magnetically ordered below *T*=3.4 K, exhibiting either left-handed or right-handed helical magnetism with a propagation vector of q = (0, 0, 0.8) [2]. In this study, angle-resolved photoemission spectroscopy (ARPES) was performed on YbNi₃Al₉ to investigate the conduction electron band structure near the Fermi level (*E*_F). Single crystals used for the ARPES measurements were synthesized using the flux-method [3]. The experiments were conducted at BL-1 of Hiroshima Research Institute for Synchrotron Radiation Science, Hiroshima University.

Figures 1 (a), (b) and (c) show the ARPES intensity plots of YbNi₃Al₉ measured at hv=40, 58 and 92 eV, respectively, with *p*-polarized geometry along the $\overline{\Gamma}$ - \overline{K} directions of the surface Brillouin zone, respectively. Several hole-like bands around the $\overline{\Gamma}$ point crossing $E_{\rm F}$ are observed and exhibit dispersion along k_z direction. Additionally, a parabolic band with an upward curvature, centered at the $\overline{\Gamma}$ point with a top near $E_{\rm F}$, is observed. Comparison with the results of the soft x-ray ARPES is now in progress.



Fig. 1. ARPES intensity plots of YbNi₃Al₉ measured along $\overline{\Gamma} \cdot \overline{K}$ directions with *p*-polarized geometry at photon energies of (a) hv=40 eV, (b) 59 eV and (c) 92 eV.

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Evidence for Two-dimensional Weyl Fermions in Air-Stable Monolayer PtTe1.75

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Topological semimetals, including Dirac, Weyl, and nodal line semimetals, are characterized by linearly dispersing bands that intersect at discrete points or extended lines [1]. Among topological semimetals, Weyl semimetals are particularly captivating [2]. Unlike Dirac and nodal line semimetals, Weyl semimetals only need translational symmetry of the crystal, which makes them more resistant to external perturbations. To date, a variety of three-dimensional crystals have been found to host Weyl fermions, exemplified by TaAs [3], WTe₂ [4], and Co₃Sn₂S₂ [5], and they exhibit a range of exotic transport and optical properties.

Meanwhile, research on two-dimensional (2D) materials has boomed due to the ongoing trend of device miniaturization. Recently, several candidate 2D Weyl semimetals have been theoretically predicted, but synthesis of these materials is challenging, which hinders their experimental investigation.

Here, we present experimental evidence for 2D Weyl fermion states in a non-centrosymmetric 2D material, the monolayer PtTe_{1.75}, by combined angle-resolved photoemission spectroscopy (ARPES), scanning tunneling microscopy (STM), second harmonic generation (SHG), X-ray photoelectron spectroscopy (XPS) measurements, and first-principles calculations. The strong spin-orbit coupling (SOC) and lack of inversion symmetry give rise to three pairs of Weyl nodes in the first BZ. The linearly dispersing Weyl cones have been directly observed by systematic ARPES measurements, substantiated by first-principles calculations. In addition, monolayer PtTe_{1.75} is extremely stable in various environments, including air, alcohol, and acetone, as sharp low-energy electron diffraction (LEED) patterns survive without degassing in vacuum. Our results establish monolayer PtTe_{1.75} as an ideal platform to investigate the intriguing properties of Weyl fermions in the 2D limit.

The band structure of monolayer PtTe_{1.75} is shown in Fig. 1d. Because of the strong SOC and absence of inversion symmetry, a giant Rashba splitting occurs at the Γ point, as indicated by the red circle in Fig. 1d. The spin-split bands are inverted, forming a twofold degenerate critical Weyl point in the M₁₀₀*T invariant line Γ -K, as indicated by the red arrow in Fig. 1d. The validity of Weyl points has also been confirmed by our Berry curvature calculations, as shown in Fig. 1e. To reveal the spin polarization of the Weyl cone, we calculated the spin texture of PtTe_{1.75} with SOC, as shown in Fig. 1(f-h).

Monolayer PtTe_{1.75} can be synthesized by growing Te on the Pt(111) substrate [6]. Notably, the bulk bands of Pt(111) have stronger spectral weights near the BZ boundary of Pt(111), where they overlap with the bands of PtTe_{1.75}. To clearly resolve the band structures of the PtTe_{1.75}, we focus on the band structure in the first BZ, where the bulk bands of Pt(111) have less spectral weight. Figure 3a illustrates the distribution of the six Weyl nodes and the momentum cuts along which ARPES spectra were acquired. Along the Γ -K direction, linearly dispersing bands with a cone-like shape were observed, as indicated by the black arrows in Fig. 3b. The band degenerate point is located at approximately 0.4 eV below the Fermi level. Along the perpendicular direction, *i.e.*, Cut AB, linearly dispersing bands with a crossing point at 0.4 eV were also observed, as shown in Fig. 3e. These results fully agree with the existence of six Weyl cones in the first BZ of PtTe_{1.75}.

To further confirm the 2D character of the Weyl cone in $PtTe_{1.75}$, we present ARPES spectra along the Γ -K direction measured with different photon energies, as shown in Fig. 3f-3g. The Weyl cones have negligible dispersion with photon energies, which agrees with their 2D character. In contrast, the nearby bulk bands of Pt(111) show apparent dispersion with photon energies. These results provide further evidence for the existence of 2D Weyl cones in monolayer $PtTe_{1.75}$.

As monolayer $PtTe_{1.75}$ is grown on Pt(111), the substrate-overlayer interaction is non-negligible. To clarify the influence of the substrate, we carried out first-principles calculations including the Pt(111) substrate. Figure 3d displays the calculated band structure along the Γ -K direction. The Weyl points moved to below the Fermi level because of the electron doping from the substrate. In general, the calculated band structures agree well with our experimental results, as indicated by the black dashed lines in Fig. 3d.

In conclusion, our combined experimental and theoretical results provide evidence for the existence of 2D Weyl fermions in monolayer PtTe_{1.75}. Because of the strong spin-orbit coupling and lack of inversion symmetry, monolayer PtTe_{1.75} hosts a giant Rashba splitting and band inversion, giving rise to three pairs of Weyl cones. Combined ARPES measurements and first-principles calculations confirmed the presence of 2D Weyl cones. In addition, our LEED, XPS, and ARPES measurements show that monolayer PtTe_{1.75} has excellent stability in various chemical environments, which is superior to most surface structures and 2D materials.

The paper that includes these data was recently accepted by Nano Letters. A preprint can be found at arXiv:2407.20606 (2024).



FIGURE 1: Overview of 2D Weyl fermions and monolayer PtTe_{1.75}. (a) Schematic drawing of a pair 2D Weyl cones. There are topological edge states connecting the Weyl nodes, akin to the topological Fermi arcs in 3D Weyl semimetals. (b) Top view and side view of the structure model of monolayer PtTe_{1.75}. Orange and blue balls represent the Te and Pt atoms, respectively. The red rhombus indicates a unit cell. (c) Calculated band structure of monolayer PtTe_{1.75} with SOC. The red circle indicates the Rashba splitting at the Γ point. The red arrow indicates Weyl cones between the Γ and K point. (d) The distribution of the Weyl cones in the first BZ. (e) The distribution of Berry curvature of freestanding monolayer PtTe_{1.75}. (f-h) Calculated spin texture in freestanding monolayer PtTe_{1.75}.



FIGURE 3: ARPES measurement of the band dispersion. (a) Constant energy contour of monolayer $PtTe_{1.75}$ at 0.4 eV. The red dashed line indicates the first BZ of $PtTe_{1.75}$. (b) ARPES intensity plots along the K- Γ -K direction of $PtTe_{1.75}/Pt(111)$. (c) Momentum distribution curves extracted from (b). (d) DFT calculated band structure of $PtTe_{1.75}/Pt(111)$ along the K- Γ -K direction. (e) ARPES intensity plots along Cut AB, as indicated in (a). (f-h) Photon energy dependent band dispersion of $PtTe_{1.75}/Pt(111)$. Black arrows indicate the Weyl cones, which shows negligible dispersion with photon energy.

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Mapping the Pseudospin Texture in a Kagome Superconductor by means of Dichroic ARPES

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In the recent decade, research on Kagome metals – featuring the simultaneous emergence of exciting physical phenomena such as superconductivity, chiral charge order, and topology in the same host – garnered tremendous attention [1]. Particularly, the family of materials with chemical composition AV_3Sb_5 (A = K, Rb, Cs) remains in the spotlight [2-4].

Similar to the case in graphene [5,6], a particular pseudospin observable stemming from certain sublattice degree of freedom (DOF) plays an important role also for Kagome networks. In addition, the orbital pseudospin component becoming relevant as the consequence of five V-3*d* orbitals located at each Kagome lattice site, making the single-orbital picture to be obsolete. Moreover, the Fermi surfaces in the AV_3Sb_5 class of materials are not only built from Kagome-related (i.e. V-3*d*) states (see purple arrow in Fig. 1), but also electron-like Sb- p_z bands (green arrows in Fig. 1) contribute to complex band manifolds [3] and are thus likely decisive for the physical properties. In this regard, it becomes quintessential to comprehend the pseudospin physics in these materials in detail.

In order to address these aspects experimentally, we employed ARPES with variable linear light polarization. This means, we acquired momentum maps with a fixed sample orientation and use the ability of the rotatable ARPES system at BL-1 to change the linear light polarization gradually from $p (\gamma = 0^{\circ})$ - to $s (\gamma = 90^{\circ})$ polarization after each measurement. Such an exemplary measurement series is shown in Fig. 1(a). The constant energy cuts clearly show the Kagome-related V-3d bands particularly around the K points as well as the Sb- p_z electron pocket at the zone center. Overall, one finds a prominent left-right asymmetry if there is a finite contribution of p-polarization in the light electric field vector, which in consequence drops gradually in the four datasets shown. This asymmetry is referred to as linear dichroism (LD) [7-10] which can give access to the orbital angular momentum (OAM) in the initial state wave function [7,10]. Fig. 1(c) shows the LD = $I(+k_x,k_y) - I(-k_x,k_y)$ extracted from an ARPES measurement taken with purely p polarized light ($\gamma = 0^{\circ}$). One finds an interesting and complex LD pattern in both the V-3d and the Sb- p_z bands. Overall, the concrete origin is presently under investigation [11] and will be supported by SPR-KRR photoemission calculations and circular dichroism measurements [12]. One particular interesting aspect could be a surfaceinduced formation in the bulk bands of the inversion symmetric material. In order to elaborate on this aspect we model our experimental data i.e. especially the Sb_{-p_z} electron pocket, by simple model calculations assuming an OAM-carrying band (marked by the green arrow in Fig.1). In this we find the mixing of outof-plane p_z with radial aligned in-plane $p_{x,y}$ orbitals (which lead to the formation of OAM L_y) to be responsible for the calculated LD (Fig.1(c)). In the experiments, we could not only address the OAM via LD but also this particular orbital texture. In the polarization series in Fig.1(a), we find the intensity distribution of the Sb-band to develop from almost uniform, when p polarized light is used, to a rotating dumbbell shape. When light is completely s polarized (and thus exclusively sensitive to in-plane orbitals), the observed

pattern is entirely two-fold symmetric. This resembles a radial in-plane orbital alignment [7] and the complete series is nicely reproduced by the simple model simulations.

In summary, we have studied the pseudospin texture of a Kagome-type quantum material CsV_3Sb_5 using linear dichroism as well as polarization-modulated ARPES, i.e. two approaches that have been proposed to provide information about the pseudospin texture [7,10,13]. Supported by simple model simulations of the photoemission intensity, our results hint towards a surface-induced formation of OAM in the bulk bands of the inversion symmetric compound [11], which – to the best of our knowledge – has not been reported so far.



FIGURE 1. Overview of polarization-dependent ARPES measurements. (a) Constant energy contours (CECs) recorded with a photon energy of 78 eV at approximately 180 meV binding energy (the upper section of the Kagome Dirac cone (purple arrow). Here we focus on the circular feature located at the center of CECs (indicated by the green arrows) which as predominantly Sb- p_z character. Upon gradual evolution of light polarization from p ($\gamma = 0^\circ$) to s ($\gamma = 90^\circ$), which is from left to right, an intensity redistribution is taking place. For p polarization, the intensity is mostly uniform, whereas there is no intensity at $k_x = 0$ lobes at around $k_y = 0$ path for s polarization. (b) Supporting ARPES simulations – representing the Sb- p_z band – where we observe the same behavior for the band with radial-orbital alignment. (c) Linear dichroism (LD) extracted from the same constant energy cuts as in (a) ($\gamma = 0^\circ$) but taken at a photon energy of 66 eV. On the right hand side, an LD simulation is shown.

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Observation of band dispersion in Yb intercalated graphene

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Keywords: graphene, intercalation, ytterbium, superconductivity, two-dimensional material, 4f electrons, van Hove singularity.

When atoms are intercalated into graphene, the electronic structure changes dramatically due to the regular structure of the intercalant and the long period of the atomic structure, depending on the conditions. We have previously reported superconductivity in Ca [1,2] and ferromagnetism in Yb [3]. However, superconductivity in graphene has not been reported by electrical transport measurements in intercalants other than Ca, and elucidation of the mechanism and realization by further atomic species have been waited for. In such a situation, we have succeeded in





achieving superconductivity at T_c =1.5 K by Yb intercalation.[4] Since Yb is a heavier element than Ca and has an anisotropic electron orbital in the 4*f* electron system, it is fascinating to see how it affects superconductivity when intercalated into graphene, since ARPES has been reported to create hybridized orbitals of the Yb 4*f* level and graphene, unconventional types of superconductivity, such as superconductivity with nodes, are also expected. In addition, when the Fermi level is properly tuned, graphene is theoretically expected to have flat band-like van Hove singularity (vHs) band that contributes to superconductivity, and the symmetry of superconductivity is expected to be chiral *d*- or *f*-wave [5].

In this study, we would like to elucidate the nature of ferromagnetism and superconductivity realized by Yb intercalation and clarify how they are correlated. So far, we have clarified the realization of superconductivity and its fundamental electrical properties, as well as the location of Yb and its valence, using electrical conduction and photoelectron holography. Subsequently, ARPES energy dispersion measurements revealed that a $\sqrt{3} \times \sqrt{3}$ superstructure is formed, and the existence of folded bands (red dashed square in Fig. 1(a)). The graphene π^* band and the Yb 4*f* level (white arrows in Fig. 1(a)) hybridize (blue arrows in Fig. 1(b)), indicating the strong influence of the Yb electron orbitals on graphene. In the case of Ca, vHs is far from the Fermi level, but in Yb case, the fact that the valence of Yb is trivalent at the substrate termination and divalent between the graphene layers is thought to be the cause of a good adjustment of vHs. In the future, we would like to further investigate the unconventional nature of superconductivity, its relation to ferromagnetism, and the easy way of Yb intercalation.

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Synchrotron ARPES studies of nodal line semimetal LaTe_{1+x}Bi_{1-x}

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Keywords: Topological material, Nodal line semimetal, Band dispersion, ARPES

LaTe_{1+x}Bi_{1-x} is a layered material consisting of an insulating layer of LaTe and a conducting Bi square lattice layer. Band calculation predicted that it possesses a Dirac nodal-line along Γ -R in the Brillouin zone (BZ) (Fig. 1) [1], and therefore it can be classified as a nodal line semimetal for which exotic transport properties can be expected [2]. However, predicted nodal line of LaTeBi is located slightly above the Fermi level (*E*_F). To experimentally observe the nodal line and also determine its energy position relative to *E*_F are important to investigate the potential of LaTe_{1+x}Bi_{1-x} to exhibit topological physical properties. In this presentation, we report synchrotron angle-resolved photoemission spectroscopy (ARPES) study of LaTe_{1+x}Bi_{1-x}, which was performed to directly observe the valence band electronic structure of LaTe_{1+x}Bi_{1-x}.

The samples measured were $LaTe_{1+x}Bi_{1-x}$ (x = 0.2) single crystals prepared by the flux method. Synchrotron ARPES experiments were performed by a high-resolution ARPES spectrometer at BL-1 of HiSOR, with linearly polarized light and with the light spot size of 40 (H) x 50 (V) μ m. The energy resolution was set to ~ 40 meV and the measurement temperature was 20 K.

Figure 1 shows an ARPES intensity map measured along the ΓX line of the BZ. The black regions correspond to bands. Distinct dispersive bands symmetric with respect to the high symmetry points are clearly observed in the entire energy region shown. The observed bands were found generally consistent with the results of band calculations. Around X, one can find bands approaching $E_{\rm F}$.



FIGURE 1. ARPES intensity map of LaTe1.2Bi0.8 and Brillouin zone

Figure 2 shows a minus second-derivative ARPES intensity map near E_F and around X. "W" shaped dispersive bands symmetric with respect to X are observed. The characteristic dispersion is consistent with calculation, indicating that a nodal line is located very close to E_F at X in LaTe_{1.2}Bi_{0.8}.



FIGURE 2. Minus second-derivative ARPES intensity map near EF and around X of LaTe1.2Bi0.8

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ARPES Study On Magnetic Hourglass Candidate CsMn2F6

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Keywords: Magnetic Hourglass Fermions, ARPES.



FIGURE 1. (a) The crystal and magnetic structure of CsMn2F6, (b) The BZ of CsMn2F6 and the (100) surface BZ, (c) The electronic band structure of CsMn2F6 and the plot of density of states (with U=3 eV). (d) results for surface states indicated by the red color: The black arrow denotes the associated drumhead surface states.

As a new type of quasiparticle, hourglass fermion has received extensive attention.[1, 5-9] Under the protection of nonsymmorphic symmetries hourglass-like surface states can be formed, the states at the neck point of the hourglass-type dispersion are referred to as hourglass fermions. This concept of novel topological state make it possible to investigate hourglasss Weyl chains[10], hourglass Dirac chain[11] and hourglass nodal net[12] semimetals. KHgX (X = As, Sb, Bi)[1, 2], M3SiTe6 (M = Nb, Ta)[3, 4] and ErAsS[13] have been confirmed as the hourglass fermion candidates protected by nonsymmorphic symmetries (Fig. 1(c)-(e)), it is predicted that doubled quantum spin Hall effect (DQSHE) can be realized on the (100) surface of KHgX, DQSHE as a novel transportation has two pairs of surface mode with opposite spin polarization and propagating direction. Although there are many predictions, for instance, perovskite iridates[14] and other oxides[10-12] are also predicted to hold hourglass-type dispersions, there is very little experimentally confirmed materials. Studies are mainly focused on non-magnetic materials in the past, while studies on magnetic hourglass fermion candidates may give a broader platform to realize DQSHE. Recently, a theoretical study predicted that antiferromagnet CsMn2F6[15] holds the hourglass band structure around the Fermi level and the topologically protected surface drumhead states of (100) surface are found to spread over more than one half surface Brillouin zone and only appear within ~30 meV energy window[16], as shown in Fig. 1, the prominent electronic correlation could induce intriguing stability. Experimental investigation is essential to unveil the band structure of CsMn2F6.



FIGURE 2. (a) The photos of CsMn2F6, (b) The real space photoemission spectral intensity mapping of CsMn2F6. (c) The corresponding photoemission spectra from the spot indicated in (b).

Based in the ARPES endstation at BL1 of HiSOR, we have cleaved several CsMn2F6 single crystals and tried to find the signature of band structure. While we can locate the cleaved surface properly, we could not find any band feature, as shown by the quite flat spectral intensity in Figure 2c. We suspect that the strong correlation in CsMn2F6 makes the quasiparticle band incoherent.

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Exploration of the topological surface state gap in Mn(Sb_xBi_{1-x})₄Te₇

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Magnetic topological quantum materials have attracted widespread attention due to their enormous potential in emerging topological quantum phenomena, such as the quantum anomalous Hall effect (QAHE) [1, 2] and quantum axion electrodynamics [3]. Among these materials, MnBi₂Te₄ stands out as the first intrinsic antiferromagnetic topological insulator (AFM TI) [4-6]. Remarkably, QAHE has been observed in five septuple layer (SL) MnBi₂Te₄ devices [7]. Antiferromagnetic exchange interaction between adjacent MnBi₂Te₄ layers limits QAHE to odd-layer thin films. For even-layer films, achieving quantum Hall plateau requires tuning the magnetism to a ferromagnetic (FM) state via an external magnetic field.

To improve the conditions for observing QAHE, researchers have explored the possibility of regulating the magnetic interaction in MnBi₂Te₄ through doping. Specifically, substituting Bi with Sb in MnBi₂Te₄ can effectively modulate the magnetic exchange interaction between layers. Our previous experiments on Mn(Sb_xBi_{1-x})₂Te₄ at HiSOR demonstrated that Sb doping not only shifts the Fermi level but also opens a gap in the topological surface state. In the (MnBi₂Te₄)(Bi₂Te₃)_n (n = 0, 1, 2, ...) family of magnetic topological materials, MnBi₄Te₇ (n = 1) also exhibits an AFM-TI ground state. Compared to MnBi₂Te₄ (n = 0), MnBi₄Te₇ features a significantly reduced saturation magnetic field (H_{sf}), dropping from 3.6 T [8] to about 0.22 T [9]. This reduction suggests that the evolution of surface states similar to those observed in Mn(Sb_xBi_{1-x})₂Te₄ might be detectable when examining Mn(Sb_xBi_{1-x})₄Te₇ with specific doping ratios. The low saturation field and the superlattice structure of MnBi₄Te₇ make it an ideal candidate for investigating a range of emergent phenomena.



FIGURE 1. Single crystal photo of $Mn(Sb_xBi_{1-x})_4Te_7$ and associated XRD results. (a) A $Mn(Sb_{0.05}Bi_{0.95})_4Te_7$ single crystal against a millimeter grid. (b) XRD results of $MnBi_4Te_7$ and $Mn(Sb_{0.05}Bi_{0.95})_4Te_7$, insets show the detailed peaks of undoped and doped samples for comparison. After doping 5% Sb, the 2θ of (0012) and (0017) change to high values, demonstrating the successful doping.

To explore these possibilities, we grew single crystals of $Mn(Sb_{0.05}Bi_{0.95})_4Te_7$ and characterized them using X-ray diffraction (see Figure 1). We intended to use laser-based angle-resolved photoelectron spectroscopy (ARPES) to measure the electronic band structure. Unfortunately, the doping process compromised the crystal quality, leading to insufficiently flat surfaces during cleavage and unclear band structures. As a result, we were unable to confirm the presence of an energy gap in the topological surface states of the Sb-doped $MnBi_4Te_7$ material. Figure 2 presents the band structure of $Mn(Sb_{0.05}Bi_{0.95})_4Te_7$ samples from two different terminations.

In the future, to effectively investigate the topological surface state gap in $Mn(Sb_xBi_{1-x})_4Te_7$, we will optimize our crystal growth techniques to enhance the quality of $Mn(Sb_xBi_{1-x})_4Te_7$ single crystals. Building on the observed immutability of the Fermi level in the $Mn(Sb_{0.05}Bi_{0.95})_4Te_7$ sample, it is essential to synthesize additional samples with higher doping concentrations, such as x = 0.1, 0.2, 0.3 and so on. After the synthesis, we plan to apply for additional beam time to measure these higher doped samples.



FIGURE 2. ARPES-measured surface states with two different terminations of $Mn(Sb_{0.05}Bi_{0.95})_4Te_7$. (a) The septuple layer (MnBi₂Te₄) termination. (b) The quintuple layer (Bi₂Te₃) termination.

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Rashba-like CD pattern of magnetic topological insulators Mn(Bi_{1-x}Sb_x)₂Te₄

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The interplay between magnetism and topology give rise to various exotic quantum phenomena such as axion [1-3] and Chern insulating states [4-6], Majorana zero modes [7], and Weyl semi-metallic phase [8-10]. Recently, intrinsic magnetic topological insulator (MTI) has attracted considerable attention due to its great potential in generating high-temperature quantum anomalous Hall effect (QAHE). MnBi₂Te₄ is the first intrinsic antiferromagnetic TI [11-13] and has been found to host a variety of exotic quantum states, such as QAHE or axion insulator behavior [14-17]. However, these kind of quantum phenomena are only realized when its crystal thickness is reduced to a few layers, and the theoretically proposed high-temperature QAHE have not yet been observed in bulk samples, indicating that unresolved issues remain in this system.

When using low photon energy ARPES to probe the surface states of MnBi₂Te₄, researchers found that the surface states are either gapless [18-20] or have a gap smaller than 15 meV [21], rather than the 88 meV predicted by calculation [15]. This may be the reason for the realization of QAHE at a relatively low temperature in this system. The temperature for the realization of QAHE depends on the size of the Dirac gap [22]. If the gap size can be increased and the Fermi level can be tuned into the bandgap without destroying the topological nature of the surface states, then the QAHE temperature will be significantly improved.

Antimony serves as a convenient dopant in Bi-based topological materials. Recent research found that a relatively small concentration of Sb dopants in MnBi₂Te₄ was not only able to raise the gap size up to more than 100 meV, but also shift down the Fermi level, providing a potential platform to observe the high-temperature QAHE [23,24]. However, the underlying mechanism of this anomalous gap is still unclear. Thoroughly measuring the spin texture of the Sb-doped samples by the spin-resolved ARPES may be one of the direct ways to examine whether the nontrivial band topology is preserved in the doped samples [25]. Due to the scarcity of spin-resolved ARPES instruments and insufficient measuring time, we use circular dichroism (CD) ARPES as a measurement technique to indirectly reflect the spin texture of Mn(Bi_{1-x}Sb_x)₂Te₄. The CD value in APRES is defined as the difference between the spectrum measured using left circularly polarized light and the one measured using right circularly polarized light, normalized by the sum of the two spectra. CD is sensitive to spins as well as orbital angular momenta [26]. So, researchers usually treat CD-ARPES as a substitute for spin-resolved ARPES.

In this report, we employed laser ARPES at HiSOR to measure $Mn(Bi_{1-x}Sb_x)_2Te_4$ samples with different doping ratio. Our data reveals a Rashba-like CD pattern. First, we perform Fermi mappings and align the $\overline{\Gamma}$ point, then we change the azimuthal angle and make the exit slit parallel to the $\overline{\Gamma}-\overline{M}$ direction. The CD-

ARPES results are shown in Fig. 1. For the surface state, the CD patterns at the conduction band minimum and valence band maximum are antisymmetric and does not change with the doping ratio. This behavior is as same as the undoped sample [27], indicating the residual ferromagnetic state at the surface [25]. For the bulk bands, the CD pattern is consistent with the helical spin texture, but at x = 0.15, the sign of the pattern is reverse compared to the other two doping concentrations. This could be due to the weak band intensity in the region where the color inversion occurs, which is comparable to the background intensity. The background signal is usually unpatterned.

In the future, we need to measure samples with other doping levels and conduct CD-mapping measurements at some of the samples. This will allow us to more intuitively check the impact of Sb-doping on the spin texture of these magnetic topological insulator system. Additionally, if conditions permit, we will carry out detailed measurements by spin-resolved ARPES and compare the results with the CD pattern shown in this report.



Figure 1. The normal and CD *k*-*E* cuts parallel to $\overline{\Gamma} - \overline{M}$ of Mn(Bi_{1-x}Sb_x)₂Te₄ (x = 0.075, 0.1, 0.15) at 10 K. Clear Rashba-like CD pattern had been observed.

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Modulation of Dirac point in magnetic topological insulators Sn_xMn_{1-x}Bi₂Te₄

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Intrinsic magnetic topological insulators (MTIs), exemplified by MnBi₂Te₄, are expected to realize exotic topological quantum phenomena, such as high-temperature quantum anomalous Hall effect (QAHE) and the axion insulator state [1-4]. The realization of QAHE and the axion insulator state requires that the Fermi level be located in the gap of their topological surface states (TSS) [1,2]. Extensive efforts have been made in this field, but there is still a lack of ideal MTI candidates whose energy gap in the TSS is large and at the Fermi energy [5-7]. For the Mn-Bi-Te series, spectroscopic experiments revealed that such a surface gap is much smaller than previously thought. The highly electron-doped nature of the MnBi₂Te₄ crystals further obstructs the exhibition of the surface band gap in transport measurements.

Recently, researchers have found that the Fermi level of $Mn(Sb_yBi_{1-y})_2Te_4$ can be tuned from the conduction bands to the valence bands by adjusting the Sb-Bi atomic ratio *y* [8,9]. Angle resolved photoemission spectroscopy (ARPES) measurement on Sb-doped MnBi₂Te₄ revealed a sizable TSS gap, which enlarges along with increasing Sb concentration, enabling simultaneous tunability of the Fermi level and the TSS gap size (up to >100 meV). On the other hand, previous transport studies found an *n-p* transition in Sn_xPb_{1-x}Bi₂Te₄ with increasing Sn concentration [10], therefore Sn doping may also represent another route to manipulate the carrier concentration of the Mn-Bi-Te system.

To our best knowledge, no ARPES measurements on $Sn_xMn_{1-x}Bi_2Te_4$ have been reported. In this report, we employed laser ARPES at HiSOR to measure $Sn_xMn_{1-x}Bi_2Te_4$ samples with different doping ratio. We first performed Fermi surface mappings and aligned the Γ point, followed by detailed *k*-*E* cuts through the surface zone center $\overline{\Gamma}$. As shown in Fig. 1, comparing the Dirac point positions between the pure and Sn-doped samples, we observed that Sn doping acts as hole doping. After doping Sn with x = 0.1 and 0.2, the Dirac point have been lifted up for about 40 meV and 53 meV respectively, as compared to the undoped sample, while the gapless Dirac cone remains intact. It is thus expected that on samples with a specific doping concentration, a charge neutral point could be reached, at which the gapless Dirac point located right at Fermi level.

In the future, we anticipate that a magnetic topological system with controllable TSS gap size and a fixed, in-gap Fermi level may be realized in the Sn and Sb co-doped system, $Sn_xMn_{1-x}(Sb_yBi_{1-y})_2Te_4$. Such a system may serve as an ideal platform for the exploration of high-*T* QAHE as well as its evolution towards the topologically trivial regime.



FIGURE 1. The *k*-*E* cuts at the surface $\overline{\Gamma}$ of Sn_xMn_{1-x}Bi₂Te₄ (x = 0, 0.1, 0.2) at 10 K. The lifting of Dirac point can be observed clearly.

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Out-of-plane Spin Texture of Iron-Based Superconductor

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Extraordinary quantum mechanisms behind the onset of magnetism, nematicity and superconductivity in iron-based superconductors (FeSCs) could be boiled down to the intricate interplay of electronic eigenstates, spin and orbital degrees of freedom combined with certain kinds of symmetry breaking [1-5]. Just like CuO₂ in cuprates and BiS₂ in BiS₂-based family, FeSe/FeAs layer plays an important role in the low energy band structure and behavior. From the symmetry point of view, although the FeSe/FeAs layer has an inversion symmetry center, as is shown in Fig. 1(b), the formation of inversion symmetry would need two Fe sublattices to get involved, each Fe sublattice experiences an opposite crystal field created by out-of-plane Se/As atoms. Therefore, the inversion symmetry of each sublattice would break down locally, which might give a possibility for non-trivial spin textures.

To examine whether the spin texture exist or not, we took bulk FeSe as an example and performed spinresolved ARPES measurements for the α and β bands near E_F, the measurements of the spin-resolved EDCs were conducted at three momenta positions as shown in Fig. 1(e). The spin-resolved EDCs with red and blue colors clearly distinguish the spin-up and spin-down channels that were derived from the the α and β bands, respectively, where the E_B(k) of the α and β bands located at each momentum position k are represented with the color bars. In contrast with the negligible zero spin polarization of S_Z compounent at point (2), spin polarization of (1) and (3) are obvious, as shown in Fig. 1(f), indicating that the spin polarization is mainly along out-of-plane direction of Fermi surface and the maximum value of spin polarization is 40%.



FIGURE 1. Overview of the spin-polarized electronic states with an out-of-plane (z) spin component in bulk FeSe. (a) Experimental configuration of the present micro-sized spin-resolved ARPES measurements. The polarization direction of the incident light is perpendicular (s-polarization) or parallel (p-polarization) to the analyzer slit. The in-plane spin components (Sx and Sy) lie in the ab-plane of FeSe, while the out-of-plane spin component (Sz) is along the c-axis (b) Side and top views of the crystal structure of a FeSe single layer with an inversion center connecting two strongly-coupled Fe sublattices (c) The calculated Fermi surfaces (FSs) and constant energy contours (CECs) of FeSe in the nematic phase according to our tightbinding models and colored by the non-degenerate spin characters, the effect from twin domains was schematically denoted. (d) The experimental FSs of the α bands were measured around Γ point and overlaid with the calculated FSs by the tightbinding model including only a single domain (c) Calculated band structures of FeSe and their comparison with the measured results by continuously tuning the light polarization, and the band dispersion along cut rotations ($\theta=0^{\circ}$ or 90°) on the Brillouin zone, where the pink dash lines indicate the momenta positions by performing the spin-resolved EDCs measurements (f) Spinresolved EDCs and their spin polarization. Each panel's encircled number corresponds to the momentum point denoted by the pink dash lines in (c). The green curves present the spin polarization with statistical error bars proportional to $1/\sqrt{N}$, where *N* is the photoemission intensity.

It is evident that, at the peak positions of the α bands, the spin-down channel at momentum point (1) is flipped to the spin-up channel at momentum point (3), the spin flip also occurs at the peak position of the β bands. Such spin flip for the momenta positions (1) and (3) reflects, at first glance, the reversal of spin polarization between the α and β bands could be attributed to the orbital-dependent initial states dominated by an effect of the spin-orbital entanglement [6]. In Fig. 1(e), we show the tight-binding model for the tiny band splitting of the α or β bands. However, one could not distinguish the spin splitting of each α or β bands from Fig. 1(f) since the energy resolution of spin apparetus was set to 30 meV. With this in mind that the underlying mechanism for the nematic spin-orbital splitting has remained to be further investigated since both the α and β bands are double-split due to the SOC enhancement or local (ferro)magnetic order in FeSe.

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Study Of The Modification Of The Electronic Structure Of MnBi₂Te₄ By Doping With Ge Atoms

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Keywords: magnetic dilution, magnetic topological insulators, MnBi2Te4

The magnetic topological insulator MnBi₂Te₄ has garnered significant interest from researchers due to its array of unique electronic properties, such as the quantum anomalous Hall effect and the topological magnetoelectric effect [1-7]. These properties position MnBi₂Te₄ as a promising material for applications in spintronics and qubit development. However, controlling the electronic structure and magnetic properties of MnBi₂Te₄ remains a significant challenge. One approach to addressing this challenge is magnetic dilution, where Mn atoms are replaced by Ge, Sn, or Pb atoms [8-11]. This substitution alters the electronic structure of MnBi₂Te₄ and can induce a topological phase transition. In this work, we investigated the electronic structure of MnBi₂Te₄ upon substituting Mn with Ge [Mn_{1-x}Ge_xBi₂Te₄)] using laser-based angle-resolved photoemission spectroscopy (ARPES). The study aimed to characterize the topological phase transition and explore the peculiarities of the electronic structure in crystals with compositions close to this transition point.



FIGURE 1. Experimental dispersion relations (hv = 6.3 eV) for Mn_{1-x}Ge_xBi₂Te₄ (x from 12% to 90%). (a1-a7) - initial spectra N(E,k), (b1-b7) - their second derivative along E, (c1-c7) - decomposition of EDC at the $\overline{\Gamma}$ -point. (d) Dependence of the size of the band gap at the $\overline{\Gamma}$ -point on x. (e1-e3) Enlarged spectra in the Dirac point region for samples with x from 47% to 60%.

The initial objective was to confirm the presence of topological surface states (TSS) in crystals with varying levels of Mn substitution. To achieve this, measurements were conducted using laser photoexcitation with hv = 6.3 eV. This photon energy is particularly well-suited for resolving TSS in the MnBi₂Te₄ family of materials [3, 4–6]. Moreover, laser radiation offers enhanced angular, spatial (spot size 5–10 µm), and energy resolutions (approximately 5 meV).

Figure 1 (a1-a7) presents the experimental dispersion relations of Mn_{1-x}Ge_xBi₂Te₄ for a range of Ge concentrations, from 12% to 90%. Figure 1 (a1-a7) illustrates these dispersions as N(E,k), while Figure 1 (b1-b7) shows them as the second derivatives along energy direction (d²N/dE²), enhancing the visibility of the observed features. The dispersions are obtained by summing over all measured polarizations (s, p, c+, c-) of the incident laser radiation for panels (a1-a4, a7) and over (s, p)-polarizations for panels (a5, a6). This approach was taken to improve the signal-to-noise ratio, considering the minimal differences in measurement geometries. Figure 1 (c1–c7) displays the corresponding energy distribution curves (EDC) at the $\overline{\Gamma}$ -point, which have been decomposed into spectral components to emphasize the TSS and the variations in energy splitting between different states of conduction band (CB) and valence band (VB) as the Ge concentration changes. At lower Ge concentrations, the branches of TSS, along with the nearest states in both the VB and CB, are distinctly visible in the dispersion relations. For instance, in Figure 1 (a1), at a Ge concentration of 12% and a temperature of 16–18 K, the data reveals a splitting of the states in the CB and in the VB, localized at binding energies of 0.10–0.14 eV and 0.31–0.34 eV, respectively. The energy separation between these states in the CB and VB is highlighted by horizontal green lines, denoted as Δ_2 . The observed splitting occurs because the spectra were acquired at a temperature below the Néel temperature (i.e. in antiferromagnetic state) for the samples with this specific Ge concentration [3,4,11]. As the Ge concentration increases, the Néel temperature gradually decreases [10]. Consequently, the spectra for samples with higher Ge concentrations were measured only in the paramagnetic state. To compare the variation in the separation between the edges of the CB and the VB at different temperatures, horizontal lines corresponding to Δ_2 were drawn between the midpoints of the observed split states. In Fig. 1(b1-b3) one can observe states near the upper part of the VB at about 0.28 eV. We attribute these states as trivial surface states according to the literature and label them as Δ_1 .

Overall, one can see the tendencies of a noticeable decrease in the separation of the VB and CB edges Δ_2 (or Δ_1) with increasing Ge concentration. Quantitatively it is estimated from the decomposition of the EDC and shown in Fig. 1(d) with black and red circles, respectively. The state separations reach average values of about 100 meV in the concentration range of 42-51%, where the separation has a constant value.

It should be noted that the TSS are only clearly visible up to a Ge concentration of approximately 37-42%. However, at a Ge concentration of 47% the TSS are barely distinguishable in the measured data. We expect the appearance of Ge-derived states in the DP region at this concentration. This leads to the formation of a zero-band gap at the $\bar{\Gamma}$ -point. These states are visible in Fig. 1(e1-e3). In fact, no clear band gap is observed in these spectra for this range of Ge concentrations. Thus, at these Ge concentrations, the bulk states with the zero gap are formed within the states with a peak energy splitting of about 100 meV (see corresponding EDCs in Fig. 1(c1-c7)). These data also confirm the existence of a zero-band gap plateau at several Ge concentrations, which may indicate the formation of a semimetallic state.

In addition to the described states, the Rashba-like states can be observed in Fig.1 (b1-b6). These states shift towards higher binding energies with increasing Ge concentrations, presumably hybridising with the upper CB and Dirac cone states. This hybridisation leads to the formation of peaks in the EDC profiles with a visible energy splitting of about 100 meV. In the energy region between these peaks, a non-zero density of electronic states is observed, leading to the formation of an apparent zero band gap at the $\overline{\Gamma}$ -point.

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Current Activities of Research and Education on BL-5 (FY2023)

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Keywords: Photoemission spectroscopy, photoelectron emission microscopy

We present an overview of our resent research and educational activities on beamline 5 (BL5) in the fiscal year 2023. Our beamline has two experimental stations in a tandem way. The first station is equipped with an angle-resolved photoemission spectrometer (ARPES), a low energy electron diffraction (LEED) apparatus and an X-ray source. The hemispherical analyzer of ARPES spectrometer (HA54, VSW) has a mean radius of 50 mm and is mounted on a twin axis goniometer in ultra-high vacuum chamber. Using this goniometer, one can perform ARPES and photoelectron diffraction (PED) measurements. It is also possible to perform resonant photoemission spectroscopy (RPES) measurements by using photon energy tunability of synchrotron radiation with X-ray absorption spectroscopy (XAS) measurement. With the X-ray source (XR2E2, FISONS), we can perform an X-ray photoelectron spectroscopy (XPS) measurement for the chemical state analysis and the PED. At the second station, we have installed a photoelectron emission microscope (PEEM, 'PEEM III', Elmitec). PEEM provides a magnified image of lateral intensity distribution of photo-emitted electrons from a sample surface. The spatial resolutions are several ten nanometers with Hg lamp and a few micrometers with synchrotron radiation. The sample is transferred between the ARPES and the PEEM chamber in-situ, and one can perform measurements at both stations for the same sample.

In the recent researches on BL-5, we have studied the electronic structure of potassium doped aromatic molecule (K_x picene) [1], iron-based superconductor (FeSe_xTe_{1-x}) [2], transition metal di-oxide films such as VO₂ thin films which exhibits a first-order metal-to-insulator transition at 340 K [3], CrO₂ thin films which are known as a half-metallic material [4], TaO₂ film which is stabilized with a new technique developed in our group [5], and phase-separated TiO_2 -VO₂ films on mica substrates. We have also studied the electronic structures of a high-quality boron-doped diamond film which shows a signature of the highest superconducting transition temperature of 25 K [6] and a high quality single crystal of YbFe₂O₄ which is one of multiferroic materials [7], by utilizing RPES at B K- and Fe M_{2.3}- edges, respectively. In addition, we have studied the sp^3 content in diamond-like carbon films by using photoemission spectroscopy in order to optimize the conditions to produce Q-carbon (quenched carbon) which is a newly discovered amorphous phase of carbon with several exotic properties [8]. In the last few years, we have performed PEEM and TEY measurements at BL5 in HiSOR for (1) a B-doped carbon nano wall film on a Si substrate, (2) a micro-droplet of solidified L-boronophenylalanine (L-BPA) on a Si substrate and (3) cancer cells dosed with L-BPA in order to investigate microscopic chemical states of trace B atoms in them from fine structures in local- and wide-area-XAS spectra near B K-edge and to visualize B distributions on their surfaces. For this kind of measurements with PEEM, we have developed a new auto-measurement system where we can obtain a serial PEEM images with excitation x-ray energies for a certain energy range with a fixed energy step.

Recently, we have prepared an auto-measurement system and an X-ray focusing capillary lens for photoemission holography (PEH) measurements. PEH is a method that has been greatly developed in Japan in recent years as a measurement method for elucidating the local structure of materials with an atomic resolution [9]. In particular, various results have been reported in the study of the three-dimensional atomic configurational structure around the dopants in crystals [10]. However, the opportunity to use state-of-the-art apparatuses (for example, DA30 analyzer and RFA of BL25SU at SPring-8) are limited. Although our photoelectron energy analyzer is an old model and it is difficult to separate and observe small shifts in core levels because of the energy resolution of 1-2 eV of the system, we expect that our apparatus will be used for preliminary experiments on undoped materials prior to experiments for doped samples using state-of-

the-art systems. It can also be used for educational purposes such as experiencing photoelectron holography experiments and learning the analysis methods.

We have used the BL-5 for education activity as well, for example, practical education for undergraduate students of Okayama University. The students have an opportunity to study the synchrotron radiation mechanism and to experience XPS measurement which is very useful for the surface science research. We accepted more than 100 students from 2006 to 2012. From 2014, we have started to join the practical lecture for experiments using the beamline end stations in HiSOR for both graduate school students of Hiroshima and Okayama Universities. In 2018, we have had a new project for education under a Japan-Asia youth exchange program in science supported by Japan Science and Technology Agency (JST), "Sakura Exchange Program in Science". We have accepted six students from Changchun University of Science and Technology in China.

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Ex-situ/in-situ Soft X-ray Absorption Investigation on Hydrogen-Induced Corrosion Resistance Degradation of TC4 Titanium Alloy

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Keywords: TC4, titanium alloy, hydrogen charging, oxide film, corrosion resistance, X-ray absorption spectroscopy,

Due to its exceptional properties such as low density, high strength, excellent biocompatibility, superplasticity, and superior corrosion resistance[1], TC4 (Ti-6Al-4V) titanium alloy is widely used in dental implants[2], biomedicine[3], the marine industry[4], and healthcare products[5]. The excellent corrosion resistance of titanium alloys is primarily due to the formation of a protective oxide film on their surfaces[6]. However, hydrogen-induced damage remains a significant failure mechanism in these alloys[7]. While the mechanical impacts of hydrogen on TC4 have been extensively studied[8], the specific effects of hydrogen on the oxide film's composition, structure, and overall performance are not as well understood. This gap in knowledge highlights the critical need for further research to elucidate how hydrogen influences the protective oxide layer and to develop strategies to mitigate hydrogen-related degradation.

To investigate the impact of environmental hydrogen on the properties and formation mechanism of the oxide film on TC4 titanium alloy, we conducted comprehensive research on its passivation and corrosion resistance. In this study, we specifically examined the effects of hydrogen charging on the formation and dissolution mechanisms of the oxide film on TC4 alloy. Commercial samples of TC4 were subjected to hydrogen charging at a current density of 100 mA/cm^2 in a 3.5% NaCl solution for varying durations (0, 0.5, 1, 4, and 12 hours). Following hydrogen charging, the samples were immersed in the same solution for 5 days to allow stable passivation films to form.

A vacuum sample chamber was designed and installed on BL-6 to reduce the time for changing samples (8-10 samples at once) and enhance the signal-to-noise ratio. Utilizing this chamber, X-ray Absorption Spectroscopy (XAS) was employed to obtain spectra of reference titanium oxides (as shown in Figure 1) and to characterize the complex compositions and chemical states within the oxide film. Additionally, this data supports the findings from X-ray Photoelectron Spectroscopy (XPS), as illustrated in Figure 2. Electrochemical impedance spectroscopy (EIS) was employed using a frequency range of 100 kHz to 10 mHz, at 0 V (vs open circuit potential) with a 10 mV amplitude of the alternating current (AC) signal to research the corrosion resistance of the oxide film. Mott-Schottky measurements were conducted with a potential range from the film-formation potential to -1 V (vs Ag/AgCl reference electrode) at 1000 Hz with a 10 mV amplitude of the AC signal to reveal the semiconductive property of the oxide film. Additionally, polarization curve tests were carried out under conditions referenced to the Ag/AgCl electrode, with a scan rate of 0.33 mV/s and a potential range from -0.5 V to 3 V relative to the open circuit potential.



FIGURE 1. XAS spectra (Ti 2p) of reference titanium oxides and the oxide films formed on TC4 alloy after various durations of hydrogen charging.



FIGURE 2. High resolution Ti 2p XPS spectra of the oxide films formed on the TC4 alloy after various durations of hydrogen charging.

The main findings are summarized as follows:

(a) The oxide film on the surface of TC4 is primarily composed of TiO_2 , with a small amount of Ti_2O_3 also present. Anatase- TiO_2 is more dominant in the oxide film on both hydrogen-charged and non-hydrogen-charged samples.

(b) The content of Ti^{3+} in the oxide film decreases gradually with increasing hydrogen charging time from 0 to 4 hours. However, after 12 hours of hydrogen charging, Ti^{3+} is detected again, which may be related to the hydrogen-induced cracks observed on the surface.

(c) The corrosion resistance of TC4 does not change linearly with hydrogen charging time. Initially, it increases and then decreases with prolonged hydrogen charging. The improvement in corrosion resistance after hydrogen charging is attributed to the densification of the oxide film and the formation of AlOOH. The decrease in corrosion resistance after long-term hydrogen charging is due to the greater stress on the sample surface, leading to hydrogen-induced cracks that reduce the uniformity of the oxide film.

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Molecular orientation in polymer/fullerene blend films studied by NEXAFS

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Keywords: fullerene, polymer, solar cell, NEXAFS

With the increasing demand for renewable energy, organic photovoltaics have attracted much attention due to the low cost, lightweight and flexibility in recent years. Polymer solar cells based on bulk heterojunction (BHJ) consisting of interpenetrating networks of conjugated polymers as electron donors and fullerene derivatives as electron acceptors, are being developed for their potential application [1]. They provide a large interfacial area where efficient exciton dissociation can occur. The morphology of BHJ plays an important role in obtaining high efficiency organic photovoltaic devices. The order and orientation of the polymer backbone in the bulk heterojunction can influence device properties, such as the exciton diffusion, and charge carrier transport.

In this study, the molecular orientation of polymer/fullerene blend film was examined by near edge X-ray absorption fine structure (NEXAFS) spectroscopy. Poly-{bi(dodecyl)thiophene-thieno[3,4-c]pyrrole-4,6-dione} (PBTTPD) was used as electron donor, and (6,6)-phenyl-C₆₁-butyric acid methyl ester (PCBM) is used as electron acceptor. Figure 1 show the molecular structures of PBTTPD and PCBM. The blend of poly-3-hexylthiophene (P3HT) and PCBM has been used as a model system in BHJ solar cells. Compared with P3HT, PBTTPD is co-polymer which has donor and acceptor in the main chain and the higher power conversion efficiency of PBTTPD blend film is reported [2].

Samples for NEXAFS measurement were spin-coated on the gold coated silicon substrate from each solution. Pure PBTTPD PCBM, and blend of PBTTPD:PCBM (1:1.5 by weight) were dissolved in chloroform. NEXAFS measurements were performed at BL6 of Hiroshima Synchrotron Radiation Center.

Oxygen K-edge NEXAFS spectra were measured for PBTTPD PCBM and PBTTPD: PCBM blend films. Figure 2 shows the NEXAFS spectra of blend films. Red line shows spectrum of normal incidence and black line shows that of grazing incidence. First strong peak come from $\pi^*_{C=0}$ resonant excitations of both PBTTPD and PCBM. NEXAFS spectrum of blend film was well reproduced by the superposition of PBTTPD and PCBM. From the angular dependence of $\pi^*_{C=0}$ excitation, orientation angle of each molecule was obtained. Both PBTTPD of pure and blend films show "edge-on" orientation, which has the plane of polymer backbone perpendicular to the substrate. The effect of the coexistence of PCBM is considered small for polymer orientation which is important for charge transport process.



FIGURE 1. Molecular structures of (a) PBTTPD and (b) PCBM.



FIGURE 2. Oxygen K-edge NEXAFS spectra of PBTTPD:PCBM blend film measured at different incidence angle.

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Electronic Density of States of Selenium Chain within Single Walled Carbon Nanotube

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Keywords: chalcogen chain, carbon nanotube, electronic density of states.

Chalcogen elements are unique in that they have a hierarchical structure. The coexistence of the strong covalent bonds and the weak inter-chain interactions, which is due to the overlap between lone pair (LP) and antibonding (σ^*) orbitals on adjacent chains, leads to interesting structures and properties. When the atoms are confined in the narrow one-dimensional channel, they form chains with two-fold covalent bond because of the strong covalent bond and the weak interchain interaction. Carbon nanotube (CNT) has an ideal space for the synthesis of these chains due to its size of about 10 Å diameter and weak interaction with the chalcogen elements. We study the physical properties of selenium chains based on the electronic density of states (EDOS) observed by photoemission spectroscopy (PES).

Se chains confined in SWCNT (Se@SWCNT) was fabricated by heat treatment, that is, Se bulk and single-walled CNT (SWCNT) were sealed in a glass tube and heated to 973 K for 48 hours [1]. The insertion of Se atoms into SWCNT was confirmed by electron microscopy. PES measurements were performed using high-resolution PES spectrometer installed at BL-7 of HiSOR in the incident photon energy range (hv) of 60-450 eV at room temperature (RT). The measurements for valence band EDOS were performed in the incident photon energy range of 60-120 eV, and those for core-level PES spectra for the C 1*s*, Se 3*d* levels to examine the chemical states around each element. The binding energy was calibrated to 4*f* level and the Fermi edge of Au film.

Figure 1 shows the valence band spectra for trigonal Se (t-Se), SWCNT and Se@SWCNT, respectively, where background was subtracted using LG4X [2] and the spectra were normalized to the highest peak. In the spectra for t-Se, the peaks around 2.6, 5.6 and 14 eV were assigned to the lone pair (LP), bonding (σ) and s orbitals respectively. Band gap was observed, indicating that t-Se is semiconductor. In SWCNT there were peaks at 3.1, 5.8, and 15 eV. The spectra of Se@SWCNT were quite similar to those of SWCNT above 8 eV. This is natural because Se atoms were inserted into the SWCNT and most of the photoelectron signals came from SWCNT.

To obtain the spectra of the Se chains encapsulated in SWCNT, the spectra of Se@SWCNT were substituted by those of SWCNT. In this procedure the spectra were normalized to the peak at 7.5 eV, since the peak was highest and only appeared for SWCNT. The subtracted spectra are shown in Figure 2. At RT the band gap became larger than that of t-Se. In t-Se the overlap between LP and σ^* orbitals on adjacent

chains weakens the covalent bond. When Se chains were isolated in SWCNT, the overlap disappeared. Therefore, the covalent bond was strengthened in SWCNT, resulting in widening of the band gap. In contrast to Se@SWCNT at RT, the band gap closed at 20 K. According to our extended X-ray absorption fine structure analysis, the coordination number of the covalent bond decreased from 2.0 to 1.8 with decreasing temperature. These results suggest that a transition occurred, but understanding this phenomenon requires further study including *ab initio* calculations.

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FIGURE 1. PES spectra of t-Se (blue), SWCNT (black), and Se@SWCNT (red) at RT.



FIGURE 2. PES spectra of t-Se (blue), the difference between Se@SWCNT and SWCNT at RT (black), and at 20K (red).

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Fe 3*d* electronic State in Covalent Chain Antiferromagnets TIFeX₂ (X = S, Se) Investigated by Resonant Photoemission Spectroscopy

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The covalent chain antiferromagnets TlFeX₂ (X = S, Se) have attracted much interest because of their quasi-one-dimensional magnetic properties due to the larger intrachain super-exchange interaction compared to the interchain direction [1]. TlFeS₂ and TlFeSe₂ exhibit antiferromagnetism, which the spins are antiparallel and aligned anti-parallel in the direction perpendicular to the chain, below Néel temperatures of 196 K and 290 K, respectively [2]. The magnetic properties of this system are mainly determined from the Fe 3*d* electronic state, but no direct observation of the electronic state has been reported. In this study, we have investigated the electronic structure by means of Fe 3*p*-3*d* resonant photoemission spectroscopy (RPES) so as to discuss the Fe 3*d* electronic state in TlFeX₂.

Samples used were $TlFeX_2$ single crystals grown by the Bridgman method. The Fe 3p-3d RPES measurements were performed at BL-7, HiSOR. Overall energy resolution was estimated to be 65 meV from the spectrum of the Fermi edge of Au film. All the spectra were collected at the room temperature.

Figure 1 shows the photon energy (hv) dependence of RPES spectra for TIFeX₂ observed in the Fe 3*p*-3*d* absorption region. Intensities are normalized to the monochromator output. One notices that four structures labeled from 1 to 4 exhibits prominent resonance. With increasing hv from 50 to 70 eV, the intensities of these structures decrease until the minimum at hv = 54 eV (off-resonance) and reach the maximum at hv = 57 eV (on-resonance).

The off-resonance spectra of both TlFeX₂ are well in agreement with self-consistent band structure calculations based on the full-potential linearized augmented plane wave (FLAPW) method [3]. According to the FLAPW band, the off-resonance spectrum of TlFeS₂ (TlFeSe₂) is mainly composed from Tl 6*s* and S 3p (Tl 6*s* and Se 4p).

The strong influence of Fe MMM Auger and Tl OOO Auger (due to secondary light) components in the valence band spectra makes it very difficult to extract the Fe 3d partial density of states from the RPES spectra. For TlFeSe₂, especially, the Se 3d core levels excited due to secondary light overlap the valence band RPES spectrum. However, we can discuss the hybridization strength between Fe 3d and the conduction electrons from the structures near the valence band maximum in the on-resonance spectrum. The intensity of structure 1 to structure 2 for TlFeS₂ is larger than that for TlFeSe₂, suggesting that the hybridization strength between Fe 3d and the conduction electrons is stronger in TlFeS₂. This result is consistent with the results obtained from the valence band and Fe 2p HAXPES spectra [3].

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FIGURE 1. Photon energy (hv) dependence of RPES spectra for TIFeX₂. (a), (b) Image plot of X = S and Se, respectively. (c), (d) hv dependence of EDC plot of X = S and Se, respectively. Labels 1 to 4 indicate the binding energy position at which the constant initial state (CIS) spectra were extracted.



FIGURE 2. Initial (binding) energy E_i dependence of the CIS spectra for TIFeX₂. (a) X = S. (b) X = Se.